

3530 U.S. PTO  
09/288856  
04/09/99


<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	0557-4645-2
	First Inventor or Application Identifier	Tetsuro NAGATSUKA, et al.
	Title	DOCUMENT CLASSIFICATION SYSTEM AND METHOD FOR CLASSIFYING A DOCUMENT ACCORDING TO CONTENTS OF THE DOCUMENT

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	<b>ACCOMPANYING APPLICATION PARTS</b> 6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations (4) 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired. 13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 14. <input checked="" type="checkbox"/> Other: Notice of Priority, List of Inventors' Names and Addresses, Statement of Relevancy
2. <input checked="" type="checkbox"/> Specification Total Pages <b>97</b>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets <b>28</b>	
4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="text"/> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 15 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:	
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)	of prior application no.:
Prior application information: Examiner:	Group Art Unit:

16. Amend the specification by inserting before the first line the sentence:	
<input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP)	of application Serial No. Filed on
<input type="checkbox"/> This application claims priority of provisional application Serial No.	Filed

<b>17. CORRESPONDENCE ADDRESS</b>	
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Name:	Marvin J. Spivak	Registration No.:	24,913
Signature:		Date:	4/5/99
Name:	C. Irvin McClelland	Registration No.:	

Registration Number 21,124

Docket No. 0557-4645-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Tetsuro NAGATSUKA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: DOCUMENT CLASSIFICATION SYSTEM AND METHOD FOR CLASSIFYING A DOCUMENT  
ACCORDING TO CONTENTS OF THE DOCUMENT

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	49 - 20 =	29	× \$18 =	\$522.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$78 =	\$234.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$260 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$760.00
TOTAL OF ABOVE CALCULATIONS				\$1,646.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,646.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,646.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: 4/9/99

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C. Irvin McClelland  
Registration Number 21,124

Docket No. 0557-4645-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Tetsuro NAGATSUKA, et al.

FILING DATE: Herewith

FOR: DOCUMENT CLASSIFICATION SYSTEM AND METHOD FOR CLASSIFYING A DOCUMENT  
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**LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:


Listed below are the names and addresses of the inventors for the above-identified patent application.

Tetsuro NAGATSUKA	Kanagawa, JAPAN
Tatsuo MIYACHI	Tokyo, JAPAN
Atsuo SHIMADA	Kanagawa, JAPAN
Kazutoshi TAKEYA	Kanagawa, JAPAN
Eiji KEMMOCHI	Tokyo, JAPAN
Akiko NAKAJIMA	Tokyo, JAPAN
Makoto YAMASAKI	Tokyo, JAPAN
Katsuhiko FUJITA	Tokyo, JAPAN

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Marvin J. Spivak

Registration No. 24,913

**C. Irvin McClelland**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tetsuro NAGATSUKA, et al.

GAU:

SERIAL NO: New Application

EXAMINER:

FILED: Herewith

FOR: DOCUMENT CLASSIFICATION SYSTEM AND METHOD FOR CLASSIFYING A DOCUMENT  
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REQUEST FOR PRIORITY



ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

- ☐ Full benefit of the filing date of U.S. Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number, filed, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

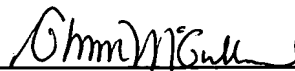
<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	10-114414	April 10, 1998
JAPAN	10-115907	April 13, 1998

Certified copies of the corresponding Convention Application(s)

- ☐ are submitted herewith
- ☒ will be submitted prior to payment of the Final Fee
- ☐ were filed in prior application Serial No. filed
- ☐ were submitted to the International Bureau in PCT Application Number .  
Receipt of the certified copies by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and  
(B) Application Serial No.(s)
  - ☐ are submitted herewith
  - ☐ will be submitted prior to payment of the Final Fee

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
\_\_\_\_\_  
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Registration No. 24,913  
C. Irvin McClelland  
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